Assessment Agreement for C-PACER Financing

COUNTY OF ____, WASHINGTON
COMMERCIAL PROPERTY ASSESSED CLEAN ENERGY AND RESILIENCY (C-PACER) PROGRAM
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Assessment Agreement for C-PACER Financing

[Name] County, Washington

This ASSESSMENT AGREEMENT for C-PACER FINANCING (this "Agreement") is made and entered into as of this [__] day of [__], 20xx (the "Effective Date") by and between the County of [__], Washington (the "County"), and [__], the record owner(s) (the "Property Owner") of the fee title to the real property identified on Exhibit A (the "Property").

RECITALS

WHEREAS, the County has, on [__] established the Commercial Property Assessed Clean Energy and Resiliency Program (the "Program") through the adoption of Ordinance No. [__] ("County Ordinance") to allow the financing of certain renewable energy, energy and water efficiency, and resiliency improvements ("Qualified Improvements"), through the levy of contractual assessments pursuant to RCW 36.165 (as may be amended from time to time, the "C-PACER Act"); and

WHEREAS, the purpose and method of approval of C-PACER financing under the Program are described in the Program Guidebook attached to the County Ordinance, as the same may have been amended from time to time prior to the Effective Date of this Agreement (the "Program Guidebook"); and

WHEREAS, the Property is located in the boundaries of the County and the County has consented to owners of eligible properties within its jurisdiction participating in the Program; and

WHEREAS, the Property Owner has submitted application materials including a description of the Qualified Improvements that will be acquired, constructed on and/or installed on the Property; and

WHEREAS, the County has reviewed such application materials to assess compliance with the C-PACER Act, the County Ordinance, and Program Guidebook, and the County has determined that the project proposed by the Property Owner complies with such criteria and is approved for participation in the Program (the "Approved Project"); and

WHEREAS, the Approved Project is to be financed pursuant to a financing agreement between the Property Owner (the "Financing Agreement") and a capital provider (together with its designee or assigns, the "Capital Provider") and under which the Property Owner agrees to repay such Capital Provider; and

WHEREAS, pursuant to RCW 36.165, the County and the Property Owner must enter into an agreement whereby the Property Owner voluntarily consents to have an assessment levied and a lien placed on the qualifying property in exchange for receiving and repaying C-PACER financing; and

WHEREAS, it is a condition to closing of the Financing Agreement that the Property Owner and the County enter into this Agreement and that this Agreement be assigned to the Capital Provider; and

WHEREAS, the Property Owner voluntarily and willingly agrees to have an assessment levied on the Property and to enter into this Agreement in order to finance the installation on the Property of the Qualified Improvements contemplated as part of the Approved Project, all on the terms set forth in the Financing Agreement;

NOW, THEREFORE, in consideration of the foregoing and the covenants and agreements hereinafter
contained, and for other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, the Property Owner and the County formally covenant and agree as follows, with the intent to bind themselves and their respective successors and assigns:

**AGREEMENT**

**Section 1.**  Purpose.  The Property Owner and the County are entering into this Agreement for the purpose of subjecting the Property to a C-PACER assessment to finance or refinance the purchase, installation, or construction of the Qualified Improvements identified on Exhibit B on the Property.

**Section 2.**  The Property.  This Agreement relates to the real property identified in Exhibit A. The Property Owner has supplied to the County current evidence of its ownership of fee title to the Property and possesses all legal authority necessary to execute and deliver this Agreement.

**Section 3.**  Assessment and Lien; Assignment.

(a)  The Property Owner agrees that upon the execution and delivery of this Agreement by the parties, the Property Owner voluntarily and willingly consents to the placement of an assessment levied against the Property by the County pursuant to this Agreement and applicable law in the principal amount of $[__________], together with all interest, penalties, and fees as described in the Financing Agreement (the “Assessment”). Upon execution and delivery of this Agreement, the County will execute and cause to be recorded in the office of the County Auditor for the County, together with a copy of this Agreement, pursuant to RCW 36.165, the Notice of Assessment Interest and C-PACER Lien (“Notice of Assessment”), substantially in the form of Exhibit C. The recording of the Notice of Assessment will cause the Assessment to attach as a lien upon the Property for the benefit of the County (the “C-PACER Lien”) and provide record notice to third parties of the existence of the C-PACER Lien.

(b)  The execution and delivery of this Agreement by the parties authorizes and effectuates the levy of the Assessment by the County against the Property without any further action required by the parties.

(c)  The Property Owner hereby promises to pay the Assessment for a period of [__________] years on the due dates set forth in Exhibit D hereto (the “Assessment Schedule”). The Property Owner agrees, as provided in the Financing Agreement, to pay the amount due in installments according to the Assessment Schedule (each, an “Assessment Installment”), each such Assessment Installment to be paid by the Property Owner by its due date in order to avoid delinquencies and the accrual of interest and related penalties.

(d)  The Assessment shall be secured by the C-PACER Lien until paid in full. Failure to pay any Assessment Installment, like failure to pay any property taxes pertaining to the Property, will result in penalties and interest accruing on the amounts due on the terms and provisions of the Financing Agreement. In addition, under those circumstances, the C-PACER Lien may be foreclosed in the manner specified in Section 4, below.

(e)  The Assessment and the C-PACER Lien shall be assigned, pursuant to the Assignment of Assessment Agreement (the “Assignment”), to the Capital Provider, its designee or assigns as set forth in the Financing Agreement. The Assignment shall be executed and delivered contemporaneously with this Agreement and recorded immediately following the Notice of Assessment.
Section 4. Collection of Assessment; Foreclosure.

(a) The Assessment Installments shall be collected in the manner specified in the Financing Agreement.

(b) The Property Owner acknowledges that if any Assessment Installment is not paid when due, the C-PACER lien may be enforced by the Capital Provider at any time after one year from the date of delinquency in the same manner that the collection of delinquent real property taxes is enforced by the County. This enforcement may include prosecution of foreclosure proceedings under chapter 84.64 RCW et seq., including the provisions of RCW 84.64.040, excepting that a sworn declaration by the Capital Provider attesting to the assessment delinquency of at least one year shall be used in lieu of the certificate of delinquency required under RCW 84.64.050. The sworn declaration shall have the same legal standing as a certificate of delinquency enumerated in RCW 84.64.050.

(c) As permitted by RCW 84.64.040, Property Owner expressly consents to prosecution of said action of foreclosure by Capital Provider in accordance with the terms of the Financing Agreement. The County shall have no obligation to prosecute such foreclosure on behalf of the Capital Provider, or to otherwise participate in such foreclosure except to the extent that any action on the part of the County or any County official is required in order to allow the Capital Provider to prosecute or effectuate the foreclosure under RCW 84.64, or to ratify or confirm any action of the Capital Provider taken in furtherance of the foregoing, as contemplated in the County Ordinance.

(d) [Because the Agreement covers multiple parcels, an action of foreclosure on a parcel or parcels pursuant to this section shall be brought in accordance with the terms specified in the Financing Agreement, to the extent consistent with the requirements of RCW 84.64.040.]

Section 5. Term; Agreement Runs with the Land.

(a) Except as otherwise set forth in this Agreement, this Agreement shall terminate upon the final payment or prepayment of the Assessment. Following such termination, the County shall cause to be executed, delivered, and/or recorded such instruments as are necessary in order to release the C-PACER Lien. The C-PACER Lien placed pursuant to this Agreement establishes rights and obligations that are for the benefit of the Property and, therefore, such rights and obligations run with the land.

(b) The balance of the C-PACER Lien that has not yet become due is not accelerated or eliminated by foreclosure of the C-PACER Lien or any lien for taxes imposed by the state, a local government, or junior taxing district against the Property.

(c) In the event the Property is subdivided while any portion of the Assessment remains unpaid, the Assessment will be assigned to each of the newly created parcels on the basis of [relative valuation], unless the Financing Agreement provides that the Assessment should be allocated in an alternate manner.

Section 6. Recordation of Documents. The County shall cause to be recorded in the office of the County Auditor the Notice of Assessment, which includes this Agreement as an attachment, and such other documents that are attached as Exhibits to this Agreement.

Section 7. Amendment. (a) This Agreement may be modified only by the written agreement of the Capital Provider and the Property Owner.

(b) The Property Owner agrees that it will, from time to time, execute,
acknowledge and deliver, or cause to be executed, acknowledged and delivered, such supplements hereto and such further instruments as may reasonably be required in order to carry out the expressed intention of this Agreement.

**Section 8.** Binding Effect; Assignment. This Agreement inures to the benefit of and is binding upon the County, the Property Owner and their respective successors and assigns. The obligation to pay the Assessment set forth in this Agreement is an obligation of the Property and no agreement or action of the Property Owner (other than repayment of the Assessment in full in accordance with the terms of the Financing Agreement) will impair in any way the right to pursue foreclosure of the C-PACER Lien or the right to enforce the collection of the Assessment or any Assessment Installment against the Property. With exception of Section 9, any assignee shall be a party to this Agreement and shall have all of the rights and obligations of the County hereunder to the extent that such rights and obligations have been assigned by the County pursuant to the assignment documentation between the County and the assignee. The County may furnish any information concerning the Property Owner in its possession from time to time to prospective assignees.

**Section 9.** No Liability of the County. Pursuant to RCW 36.165, the County shall incur no liability as a result of any provision of this Agreement, nor shall any members of the governing body, employees, board members and executives of the County be personally liable for exercising any rights or responsibilities pursuant to or in furtherance of this Agreement. This provision shall inure only to the County, its governing body, employees, board members, and executives, and not to the benefit of the County’s successors or assigns of this Agreement.

**Section 10.** Indemnification. Property Owner agrees to defend, indemnify and hold the County, its commissioners, employees, agents and contractors harmless from any and all claims, including but not limited to reasonable attorney fees, demands, losses and liabilities to or by third parties arising from, resulting from or connected with this Agreement, the Approved Project, the Assessment and the C-PACER Lien. Property Owner’s duty to indemnify the County shall not apply to liability for damages to the extent caused by or resulting from the sole or contributory negligence or willful misconduct of the County, its commissioners, employees, agents or contractors.

**Section 11.** Governing Law; Venue. This Agreement is governed by and construed in accordance with the laws of the State of Washington. Any legal action brought under this Agreement must be instituted in a superior court of the County.

**Section 12.** Severability. Each and every provision of this Agreement is, and shall be construed to be, a separate and independent covenant and agreement. If any term or provision of this Agreement or the application thereof shall to any extent be held to be invalid or unenforceable, the remainder of this Agreement, or the application of such term or provision to circumstances other than those to which it is invalid or unenforceable, shall not be affected thereby, and each term and provision of this Agreement shall be valid and shall be enforced to the extent permitted by law.

**Section 13.** Counterparts. This Agreement may be executed in several counterparts, each of which is an original and all of which constitutes one and the same instrument.

*Signatures Appear on Following Page*
IN WITNESS WHEREOF, the County and the Property Owner have caused this Agreement to be executed in their respective names by their duly authorized representatives, all as of the date first above written.

COUNTY:

County of [XXXX], State of Washington

By: ________________________________
Its: ________________________________

PROPERTY OWNER:

By: ________________________________
Its: ________________________________

STATE OF WASHINGTON )
 )
COUNTY OF ____________________ )

This record was acknowledged before me on ___________________, 20__,
by ________________________________,
the ________________________________,
of ________________________________

WITNESS my hand and official seal.

Signature : ________________________________ (seal)
STATE OF WASHINGTON  

COUNTY OF ___________________________  

This record was acknowledged before me on _____________________,
by __________________________________________, 
the ___________________________________________, of ______________ County, Washington.

WITNESS my hand and official seal.

Signature :_______________________________________ (seal)
EXHIBIT A

PROPERTY LEGAL DESCRIPTION

[To be inserted]
EXHIBIT B

QUALIFIED IMPROVEMENTS

[To be inserted]
EXHIBIT C

FORM OF NOTICE OF ASSESSMENT

[To be inserted]
## EXHIBIT D

### ASSESSMENT SCHEDULE

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<th>Annual Collection Costs**</th>
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<td>PROPERTY ADDRESS</td>
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<td>DEED TITLE INSURANCE REPORT ASSESSOR / TREASURER OFFICIAL RECORD</td>
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<td>The address must be within the County.</td>
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<td>PROPERTY OWNER:</td>
<td>Legal name(s) of Owner(s) (LIST ALL):</td>
<td>DEED TITLE INSURANCE REPORT All names must match exactly what is on the Title Insurance Report.</td>
<td>If the name(s) is different:</td>
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<td>Name of contact person:</td>
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<td>Certified copy of personal/corporate name change;</td>
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<td>Certified copy of merger/sale document reflecting name change;</td>
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<td>QUALIFYING OWNER</td>
<td>Is property owned by a limited liability company, general or limited partnership, corporation, individual/Sole proprietorship, trust. If property is held by a limited liability company, general or limited partnership or a corporation, the applicant should include a copy of the certificate of formation, organization, incorporation or similar document and a good standing certificate/certificate of existence from the state or organization and, if not organized in Washington, a certificate of registration to conduct business in Washington as a foreign entity. If a trust, a copy of the trust agreement or a trustees’ certificate. If an individual, a copy of a valid driver’s license. If the application is to be signed by a party other than the applicant, then, in addition to the foregoing, a power of attorney or corporate resolution authorizing said party.</td>
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<td>CAPITAL PROVIDER</td>
<td>Legal Name: Name of contact person: Phone number: Email address: Evidence of qualifications: Registered capital provider in more than 2 states. 1. If a federal or state-chartered bank, or credit union, the certificate of organization or similar document. 2. If not an entity in #1, evidence of registration as a capital provider in two states. 3. If a private company, whose principal place of business is located in the state of Washington, wishes to be a capital provider, a certificate of incorporation or similar document.</td>
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<td>Federal or state-chartered bank or credit union</td>
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<td>I am a Washington-based capital provider and submitting additional information, attached.</td>
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Provider, and the person or company is not an entity in #1 or #2 above, documentation that:
- the entity is qualified to do business in the State of Washington, maintains any necessary licenses or permits necessary to conduct its business in the State of Washington, and one of the following:
  - A copy of the most recent (within the last year) audited financial statement; OR
  - Copy of the most recent (within the last year) Federal or Washington state financial institution regulatory filing.
  - NOTE: if audit is unqualified or the entity is not in good standing with any regulatory filing, application may be denied.

**QUALIFYING IMPROVEMENT CERTIFICATION (Existing Building)**

| The improvement sought are (check all that apply): |
| Energy efficient |
| Water efficient |
| Renewable Energy |
| Lead Reduction, water |

If Renewable Energy, improvement is
- Direct Purchase
- Power Purchase Agreement

If Resiliency, specify type:
- seismic retrofits
- flood mitigation

| Original and copy of: |
| Energy, Water & Resilience Compliance Certificate that is complete, signed, with accompanying documentation. |
| QUALIFYING IMPROVEMENT CERTIFICATION  
(New Construction) | The improvement sought are (check all that apply):  
___ Energy efficient  
___ Water efficient  
___ Renewable Energy  
If Renewable Energy, is this  
___ Direct Purchase  
___ Power Purchase Agreement  
If Resiliency, specify type:  
___ seismic retrofits  
___ flood mitigation  
___ stormwater management  
___ wildfire resistance  
___ wind resistance  
___ energy storage  
___ energy microgrids  | Original and copy of:  
Energy, Water & Resilience Compliance Certificate that is complete, signed, with accompanying documentation required by the Certificate.  

| __ stormwater management  
| __ fire suppression  
| __ wildfire resistance  
| __ wind resistance  
| __ energy storage  
| __ energy microgrids  |

The improvements sought are for:  
____ existing building  
____ new construction  

Attach description of improvements and certifications for improvements sought, including documentation of the appropriate license/qualifications required by the Guidebook.
The improvements sought are for:

- _______ existing building
- _______ new construction

Attach description of improvements and certifications for improvements sought, including documentation of the appropriate license/qualifications required by the Guidebook.

| LIENHOLDER CONSENT | CONSENT(s) | Applicant should submit the Lienholder Consent Form (must be substantially the same as the Model form)
|--------------------|------------|------------------------------------------------------------------------------------------|
|                    | ______ attached | ● The form must be signed and notarized in appropriate places
|                    | ______ delivered at close | Cross-check list of Lienholders from Title Report with Written Consents provided by Capital Provider.

IF CONSENT WILL BE EXECUTED AT CLOSING, CONDITIONAL APPROVAL IS GIVEN.

IF CONSENTS ARE DELIVERED AT CLOSING, APPLICANT MUST HOLD COUNTY-EXECUTED CLOSING DOCUMENTS IN ESCROW UNTIL CONSENTS ARE OBTAINED. AT DISCRETION OF THIS OFFICE, THIS APPLICATION MAY BE AMENDED AND RETURNED WITH COPIES OF CONSENTS ATTACHED.
BY SIGNATURE BELOW, THE APPLICANTS (THE PROPERTY OWNER AND CAPITAL PROVIDER) AFFIRM THAT THE INFORMATION AND DOCUMENTATION ARE TRUE AND CORRECT TO THE BEST OF THEIR ABILITY AND THAT THE APPLICANTS HAVE READ THE DISCLOSURES AND DISCLAIMERS ATTACHED TO THIS APPLICATION AND UNDERSTAND THE RISKS OF PARTICIPATING IN THE C-PACER PROGRAM; FURTHER, THAT THE APPLICANTS AFFIRM THAT NEITHER THE COUNTY, ITS GOVERNING BODY, EXECUTIVES, NOR EMPLOYEES ARE PERSONALLY LIABLE AS A RESULT OF EXERCISING ANY RIGHTS OR RESPONSIBILITIES GRANTED UNDER THIS PROGRAM.

APPLICATION FORM SIGNED AND DATED

ON BEHALF OF PROPERTY OWNER:

______________________________
NAME & TITLE: __________________________

ON BEHALF OF CAPITAL PROVIDER:

______________________________
NAME AND TITLE: __________________________

TO BE COMPLETED BY AUTHORIZED COUNTY OFFICIAL

APPLICATION: _______ APPROVED _______ CONDITIONALLY APPROVED _______ DENIED

ON BEHALF OF COUNTY:

______________________________
NAME AND TITLE: __________________________
RETURN NAME and ADDRESS

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Please Type or Print Neatly and Clearly All Information

Document Title(s) NOTICE OF ASSESSMENT INTEREST AND C-PACER LIEN

________________________________________________________________________

Reference Number(s) of Related Documents

________________________________________________________________________

Grantor(s) [PROPERTY OWNER]

________________________________________________________________________

Grantee(s) XXX COUNTY

________________________________________________________________________

Legal Description (Abbreviated form is acceptable, i.e. Section/Township/Range/Qtr Section or Lot/Block/Subdivision)

________________________________________________________________________

Assessor’s Tax Parcel ID Number

________________________________________________________________________

The County Auditor will rely on the information provided on this form. The Staff will not read the document to verify the accuracy and completeness of the indexing information provided herein.

Sign below only if your document is Non-Standard.

I am requesting an emergency non-standard recording for an additional fee as provided in RCW 36.18.010. I understand that the recording processing requirements may cover up or otherwise obscure some parts of the text of the original document. Fee for non-standard processing is $50.

Signature of Requesting Party

________________________________________________________________________
NOTICE OF ASSESSMENT INTEREST AND C-PACER LIEN
Washington RCW 36.165        Filed in XXXX County

(GRANTEE) XXXX COUNTY

(GRANTOR) [PROPERTY OWNER]

Notice is hereby given that the person named below is placing a C-PACER Lien pursuant to chapter 36.165 RCW. In support of this lien the following information is submitted:

<p>| 1. THE ASSESSMENT LIEN GRANTEE | XXXX COUNTY |
| 2. DATE ON WHICH THE ASSESSMENT AGREEMENT WAS SIGNED GRANTING THE RIGHT TO PLACE AN ASSESSMENT AND C-PACER LIEN ON THE PROPERTY | [INSERT] |
| 3. THE PROPERTY OWNER GRANTING THE PLACEMENT OF THE ASSESSMENT AND C-PACER LIEN | [INSERT] |
| 4. THE PROPERTY AGAINST WHICH THE ASSESSMENT AND C-PACER LIEN IS PLACED IS LOCATED AT THE FOLLOWING MUNICIPAL ADDRESS: | [INSERT] |
| 5. LEGAL DESCRIPTION OF THE PROPERTY IS AS FOLLOWS | SEE EXHIBIT A TO THE ASSESSMENT AGREEMENT ATTACHED HERETO |
| 6. ASSESSOR’S PARCEL NUMBER OF THE PROPERTY | [INSERT] |
| 7. PRINCIPAL AMOUNT OF ASSESSMENT SECURED BY C-PACER LIEN | [INSERT] |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>8. TERMS AND LENGTH OF ASSESSMENT SECURED BY C-PACER LIEN</td>
<td>SEE EXHIBIT___ TO THE ASSESSMENT AGREEMENT ATTACHED HERETO</td>
</tr>
<tr>
<td>9. COPY OF ASSESSMENT AGREEMENT (ATTACHED)</td>
<td>[INSERT]</td>
</tr>
</tbody>
</table>
IN WITNESS WHEREOF, Grantee and Grantor have caused this Notice of Assessment Interest and C-PACER Lien to be executed in their respective names by their duly authorized representatives, all as of the date first above written.

GRANTEE:

County of XXXX, State of Washington

By:______________________________
Its:______________________________

GRANTOR:

[PROPERTY OWNER]

By:______________________________
Its:______________________________
STATE OF WASHINGTON  

COUNTY OF ___________________________  

This record was acknowledged before me on ________________, 20__, by  
__________________________________________,  
{the_________________________________________,  
of _________________________________} [Only if authorized party who is not the  
Property Owner]  

WITNESS my hand and official seal.  

Signature :_______________________________________ (seal)  

STATE OF WASHINGTON  

COUNTY OF ___________________________  

This record was acknowledged before me on ________________, by  
__________________________________________,  
{the_________________________________________,  
of _________________________________}  

WITNESS my hand and official seal.  

Signature :_______________________________________ (seal)
RETURN NAME and ADDRESS


Please Type or Print Neatly and Clearly All Information

Document Title(s) ASSIGNMENT OF NOTICE OF ASSESSMENT INTEREST AND C-PACER LIEN AND ASSESSMENT AGREEMENT

Reference Number(s) of Related Documents

Grantor(s)/Assignor [XXXXX COUNTY]

Grantee(s)/Assignee [CAPITAL PROVIDER OR DESIGNEE]

Legal Description (Abbreviated form is acceptable, i.e. Section/Township/Range/Qtr Section or Lot/Block/Subdivision)

Assessor’s Tax Parcel ID Number

The County Auditor will rely on the information provided on this form. The Staff will not read the document to verify the accuracy and completeness of the indexing information provided herein.

Sign below only if your document is Non-Standard.

I am requesting an emergency non-standard recording for an additional fee as provided in RCW 36.18.010. I understand that the recording processing requirements may cover up or otherwise obscure some parts of the text of the original document. Fee for non-standard processing is $50.

Signature of Requesting Party
ASSIGNMENT OF NOTICE OF ASSESSMENT INTEREST AND C-PACER LIEN
AND ASSIGNMENT OF ASSESSMENT AGREEMENT

This ASSIGNMENT OF NOTICE OF ASSESSMENT INTEREST AND C-PACER LIEN AND ASSIGNMENT OF ASSESSMENT AGREEMENT (this “Assignment”) is dated as of [MONTH] ___ , 20___ by XXXX County, Washington (“Assignor”), to [CAPITAL PROVIDER] (“Assignee”).

For value received, Assignor hereby grants, assigns and transfers to Assignee, without recourse or warranty of any kind, express or implied, all of Assignor’s rights in, title to, and interest under, that certain Notice of Assessment Interest and C-PACER Lien, dated as of [___________], 20__, by [_____________] (“Property Owner”) and Assignor, recorded on [____________], 20__ as Instrument No. __________ in the office of the records of XXXX County, State of Washington (the “Notice of Assessment Interest”) and the Assessment Agreement dated as of [___________], 20__, between Property Owner and Assignor and attached to such Notice of Assessment Interest, together with the obligations secured by the C-PACER Lien and all other instruments, documents and certificates executed in connection therewith. Assignee hereby accepts all of Assignor’s rights in, title to, and interest under the Assessment Agreement and the Notice of Assessment Interest, together with the obligations secured by the C-PACER Lien and all other instruments, documents and certificates executed in connection therewith.

Consistent with RCW 84.64.040, by accepting this Assignment, Assignee agrees for the benefit of Assignor that Assignee shall be solely responsible for enforcing the obligation of Property Owner to pay the Assessment described in the Assessment Agreement, including pursuing a foreclosure of the C-PACER Lien in accordance with RCW 84.64. Assignor shall have no obligation to prosecute such foreclosure on behalf of Assignee, or to otherwise participate in such foreclosure, except to the extent that any action on the part of Assignor or any official of Assignor is required in order to allow Assignee to prosecute or effectuate the foreclosure under RCW 84.64, or to ratify or confirm any action of Assignee taken in furtherance of the foregoing, as contemplated in the County Ordinance (as defined in the Notice of Assessment Interest).

Signatures appear on following page
IN WITNESS WHEREOF, Assignor has executed this Assignment as of the day and year first above written.

“ASSIGNOR”

XXXXX COUNTY, WASHINGTON

By: ___________________________

Name: _________________________

Title: _________________________

STATE OF WASHINGTON  )
                      : ss.
XXXXX COUNTY  )

This record was acknowledged before me on ________________, by
______________________________, the
______________________________, of ______________ County,
Washington.

WITNESS my hand and official seal.

Signature : __________________________ (seal)
“ASSIGNEE”

[CAPITAL PROVIDER OR DESIGNEE]

By: ____________________________

Name: __________________________

Title: __________________________

STATE OF _____________  )
   : ss.
COUNTY OF _____________  )

On [MONTH] __, 20__, personally appeared before me, ________________, who duly acknowledged to me that he/she executed the foregoing instrument on behalf of [CAPITAL PROVIDER OR DESIGNEE] in his/her capacity as _________________ of [CAPITAL PROVIDER OR DESIGNEE]

______________________________
NOTARY PUBLIC
EXHIBIT A

LEGAL DESCRIPTION

[INSERT]
Notice of Proposed C-PACER Assessment and Request for Consent of Lien or Other Obligation Holder to C-PACER Assessment and C-PACER Lien

Notice Date:

Lien or Other Obligation Holder:
Street:
City/State/Zip Code:
ATTN:

Property/Loan Information:

Address: [______________________] (the “Property”)

Loan Number:

Why has the Financial Institution received this notice?
The Property Owner listed below owns the subject Property. Your Financial Institution holds a lien, mortgage or security interest or other secured encumbrance on the Property.

[Property Owner] (the “Property Owner”) wishes to install energy efficiency, water conservation, renewable energy, and/or resiliency improvements to the property using Commercial Property Assessed Clean Energy and Resiliency (C-PACER) financing, known as the “C-PACER” program. The Property Owner requests your consent for the property to participate in the program.

Background on C-PACER in Washington
Washington statute (RCW 36.165) (the “C-PACER Act”) authorizes Washington counties to establish a C-PACER program in their communities. Capitalized terms used herein, but not defined herein, have the meaning given to such terms in the C-PACER Act.

C-PACER financing helps stimulate local economies by allowing owners of agricultural, commercial, and industrial and multi-family properties with 5 or more dwelling units to obtain low-cost, long-term financing for energy efficiency, renewable energy, and water conservation and resiliency projects. [_____] County, where the subject property is located, has established a C-PACER program within its jurisdiction for qualifying property owners.

Through the C-PACER program, the financing for qualifying projects is provided by a private Capital Provider, and the principal amount is recorded by [County Name] as a voluntary assessment and lien (“the C-PACER lien”) on the Property. The annual assessment payments relating to that lien is repaid to, and collected by, the private Capital Provider, which Capital Provider has the responsibility of administering the Property Owner’s C-PACER obligation. Assessments have long been used to pay for improvements to real property that meet a public policy objective, such as sidewalks, parks, lighting districts, and water and sewer projects. Like other assessments, the C-PACER obligations remain with a property upon its sale, until the financing is fully repaid, at which point the C-PACER lien and assessment are retired.

Under RCW 36.165, once consent from pre-existing lien holders and, if applicable, the holders of certain other obligations, on a property is given, an assessment can be imposed and a C-PACER lien can be filed. Once filed, that lien, like other government-imposed liens, moves into a superior position above other obligations, except for property taxes and other qualifying government obligations.
To qualify for C-PACER financing, the proposed project must meet the following basic criteria:

- The property is located in _____ County, Washington, a county that has passed a resolution authorizing a C-PACER program;
- The property is an agricultural, commercial, or industrial property, or multi-family property of 5 or more dwelling units;
- The proposed measures reduce energy consumption, reduce water consumption, increase the production of on-site renewable energy, reduce lead in potable water, and/or increase the resiliency of the property, as defined in the C-PACER Act;
- The proposed measures are permanently affixed to the property; and
- The Property Owner receives consent of the current mortgage/lien holder(s).

Why should your Financial Institution consent to the C-PACER Assessment and C-PACER Lien?

1. **Property improvements financed through the C-PACER program have public benefits.** To qualify for C-PACER, a project must install improvements that either conserve energy of water resources; reduce greenhouse gas emissions; reduce lead levels in potable water; or improve safety and public health through certain resiliency enhancements (e.g., seismic stability). Under the C-PACER program eligibility requirements, a proposed project must include verification by a qualified and licensed professional certifying that the improvements will provide these public benefits. Qualifying improvements typically enhance property value and improve its collateral value for the mortgage or other obligatory interests that your Financial Institution holds in the Property.

2. **C-PACER payments do not accelerate.** In the event a mortgage holder or lien holder forecloses on the property for any reason, only the C-PACER payments currently due and in arrears would be payable, which is likely a relatively small proportion of the total amount financed. *In the case of a default, the entire outstanding principal, interest and penalties of your Financial Institution’s loan may be accelerated and come due; however, for the C-PACER financing, only the past due amounts may be collected in a default.* The remaining C-PACER financing balance runs with the land and regular installment payments would be paid by the new property owner.

3. **Improvements financed through C-PACER often reduce a property’s operating costs and/or the potential for catastrophic damage, and they often improve health and comfort of occupants, all of which make a property more attractive to tenants and future owners.**

4. **Property improvements financed through the C-PACER program align with public Climate Action Plans and, potentially, with your institution’s sustainability plans and commitments, and to shareholder interests.**

What should your Financial Institution know?

Property Owner has indicated its intention to apply for C-PACER financing for improvements outlined in the Property. The C-PACER financing will be levied on the Property pursuant to an Assessment Agreement between the Property Owner and the County, and the amount of the C-PACER financing will be determined by a Financing Agreement between the Property Owner and the private Capital Provider. The C-PACER financing terms will consist of:

<table>
<thead>
<tr>
<th>Total cost of improvements:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total C-PACER financing requested (+/- 5%):</td>
</tr>
<tr>
<td>Annual interest rate not to exceed:</td>
</tr>
<tr>
<td>Term of repayment:</td>
</tr>
<tr>
<td>Total estimated annual C-PACER Payments:</td>
</tr>
</tbody>
</table>
As required by the C-PACER Act, Property Owner is sending this Request for Consent of Lien or Other Obligation Holder to the creation of a C-PACER Assessment and Lien to:

i. provide notice of Property Owner’s proposed participation of the Property in the program;

ii. request confirmation from your Financial Institution (a current mortgage/lien or other obligation holder) that the levy of the C-PACER payments will not trigger a default nor the exercise of any remedies under your current lien or other encumbrance relating to the Property;

iii. provide notice that, due to the requirements under the County’s Assessment Agreement with the Property Owner, the C-PACER private Capital Provider financing payments will be collected in installments that are subject to the same remedies and lien priorities as real property taxes; and

iv. declare the Property Owner’s agreement to uphold and pay on a timely basis both the existing obligations to your Financial Institution which are secured by the Property and the proposed C-PACER installments.

Execution and Return of Consent. The Property Owner would appreciate your executing the attached Consent Form for the County C-PACER program and returning it to the undersigned at your earliest convenience.

Sincerely,

BY: (signature): ________________________________

PROPERTY OWNER NAME: ..................................

MAILING ADDRESS (if different than Property address): ____________________________

Lien or Other Obligation Holder Consent to C-PACER Assessment and Lien

Date:

Property/Loan Information
Building Address:
Tax key/Parcel:
Lien or Other Obligation Holder:
Loan Number:

This Lien or Other Obligation Holder Consent Acknowledgement to C-PACER Assessment and Lien (this “Consent”) is given by the undersigned entity (the “Holder”) with respect to the above-referenced C-PACER Assessment and Lien and property (“Property”) in relation to the _____ County C-PACER program (the “Program”).

RECITALS

A. The Holder is in receipt of written notice (“Notice”) from the owner of the Property (“Property Owner”) that it intends to finance the installation on the Property of certain Qualified Improvements according to RCW 36.165 that will be permanently fixed to the Property and that will be financed by participating in the Program.

B. The Holder understands that, as a result of an Assessment Agreement between _____ County and the Property Owner, and a Financing Agreement between the Property Owner and _____________ (the “C-PACER Capital Provider”), that the C-PACER Assessment and Lien against the Property, as described in the C-PACER Assessment Agreement between the County and Property Owner and in the C-PACER Financing Agreement between the private Capital Provider and the Property Owner (the “C-PACER Assessment”), will be levied on the Property, and that the C-PACER lien against the Property is a first and prior lien, second only to a lien for taxes imposed by the state, a local government, or a junior taxing district against the real property on which the C-PACER lien is imposed, from the date on which the notice of the C-PACER lien is recorded until the C-PACER Assessment, including any interest, penalties, and charges accrued or accruing under the terms of the Financing Agreement are paid in full.

C. The Property Owner has agreed in a manner acceptable to the Holder to uphold and pay on a timely basis both the existing obligations to the Holder which are secured by the Property and the proposed C-PACER Assessment payments.

D. The Holder consents to the Property’s participation in the C-PACER program and that the C-PACER lien will take precedence over all other liens except for a lien for taxes as described in paragraph B.

[Continued on next page]
ACKNOWLEDGEMENT

The undersigned hereby represents that it is authorized to execute this Acknowledgement on behalf of the Holder. The Holder hereby:

(i) confirms that it has received the Notice;
(ii) acknowledges the levy by _______ County of the C-PACER Assessment pursuant to the terms of the Assessment Agreement and C-PACER Financing Agreement; and
(iii) agrees that the levy and payment of the C-PACER Assessment will not constitute a default nor trigger the exercise of any remedies under the Holder’s Loan or other obligation documents.

The Holder hereby acknowledges that the Property Owner, the County, the C-PACER Capital Provider and the County’s designated C-PACER Program Administrator, will rely on the representation and acknowledgement of the Holder set forth in this Acknowledgement. The Recitals are integrated into and made a part of this Acknowledgment.

Holder:

By:

Signature:
Title:
Date:

In witness whereof, ________________ has caused its name to be signed this ______ day of __________, __________.

________________________________
By:______________________________
________________, _____________
I, the undersigned, hereby certify the following facts and make the following certifications with respect to the project described in the attached Project Application (the “Project”) under the _______ County Commercial C-PACER Program:

1. I am a licensed Professional Engineer in the State of Washington, whose registration number and stamp are shown below, OR

2. I am accredited by or belong to a firm with an accreditation from:

   - American Society of Heating, Refrigeration, and Air-Conditioning Engineers (ASHRAE)
     - Building Energy Assessment Professional (BEAP)
     - Building Energy Modeling Professional (BEMP)
     - Operations & Performance Management Professional Certification (OPMP)
     - High-Performance Building Design Professional Certification (HBDP)
   - Association of Energy Engineers (AEE)
     - Certified Energy Manager (CEM)
     - Certified Measurement and Verification Professional (CMVP)
     - Certified Energy Auditor (CEA)
   - Building Performance Institute
     - Energy Auditor
   - Investor Confidence Project
     - ICP Quality Assurance Assessor
       - Other

Please provide verification of professional accreditation and recognition.

3. The application is for:
   ___ an existing building
   ___ new construction

4. Please describe your relationship to the project:
   ___ I am employed by the project applicant in my professional capacity
   ___ I am a contracted independent third-party reviewer

5. I reviewed the following information regarding the project (e.g., equipment specifications OR design drawings/modeling OR permit applications OR an ASHRAE Level 1 assessment/energy assessment): Please Describe: ____________________________________________

6. The project proposal includes the “Qualified Improvements”, as defined in RCW 36.165 and the Program Guidebook, and the estimated useful life of each Qualified Improvement, which are listed in an attachment to this certification. (Please attach)

7. The Qualified Improvements will be permanently affixed to the property.
IF FOR AN EXISTING BUILDING (check those that apply):

I CERTIFY:

___ The proposed Qualified Improvements will result in more efficient use or conservation of energy or water, the reduction of greenhouse gas emissions, or the addition of renewable sources of energy or water.

___ The Qualified Improvements will result in improved resilience, which may include, without limitation, seismic resilience, flood mitigation, stormwater management, wildfire and wind resistance, energy storage, and microgrids. If other, specify: ____________________________________________

___ Prior to the installation of the Qualified Improvements, the pre-existing product, device, or interacting group or products or devices did not conform to applicable code requirements, or the subject property as a whole did not conform to the currently applicable building energy or water code for the County. After the Qualified Improvements are installed, the subject property will meet the applicable code or codes.

IF FOR NEW CONSTRUCTION (check those that apply):

I CERTIFY:

___ Each proposed Qualified Improvement will enable the subject property to exceed the energy efficiency or water efficiency or renewable energy code requirements

___ The building as a whole, as a result of the Qualified Improvements, performs above or exceeds applicable building energy and/or water codes

___ The proposed resiliency Qualified Improvements will enable the subject property to exceed the resiliency code requirements.

Signature: ____________________________________________
NAME:
Business name:

Business address:

Business contact email:

Business contact phone:

IF APPLICABLE
License No. __________________________

Stamp: ____________________________

ATTACHMENTS (Please attach to Certification)
CERTIFICATE OF CAPITAL PROVIDER QUALIFICATION

Please check all of the following that apply to the qualifications of [________________] (“Capital Provider”), the capital provider that will supply the C-PACER financing for the project located at [____________________________________]:

_____ Capital Provider is registered to provide C-PACE financing in at least two other states.
   State: __________________________
   Program Name: _______________________
   State: __________________________
   Program Name: _______________________
   Please provide documentation. Appropriate documentation includes a certification or verified copy of registration as a C-PACE provider by a C-PACE program.

_____ Capital Provider has financed at least one previous C-PACE transaction in another jurisdiction.
   State: _______________________
   Program Name: _______________________
   Transaction: _______________________
   Please provide documentation. Appropriate documentation includes a copy of a recorded transaction document (such as Notice of Assessment or Lien) specifying that is part of a C-PACE transaction.

_____ Capital Provider is a federally chartered bank, thrift institution, or credit union.
   Please provide documentation. Appropriate documentation includes a copy of the latest public filing, license, or registration with the applicable federal regulatory body.

_____ Capital Provider is a state-chartered bank, thrift institution or credit union.
   Please provide documentation. Appropriate documentation includes a copy of the latest public filing, license, or registration with the applicable state regulatory body.

_____ Capital Provider is a private entity whose principal place of business is located in Washington state, does not meet the above qualifications, but provides the following information for review and approval. Submission does not guarantee approval.

   Name
   Address
   Contact name:
   Email:
   Phone Number:
   Business License No:

   Attach the most current audited financial statements (to demonstrate solvency) or the most current regulatory or business filing required by the state (to demonstrate good standing).
[Remainder of Page Intentionally Left Blank]
The undersigned certifies that the above is true and accurate as of the current date:

[Capital Provider]

By: ____________________________

Name and Date:

Title:
CERTIFICATE OF C-PACER IMPROVEMENTS COMPLETION

Property Owner: __________________________________________________________

Property Address: _______________________________________________________

C-PACER application approval date: ________________

C-PACER financing closing date: ________________

The undersigned certifies that the work under the above approved C-PACER Application, attached as Exhibit A hereto, has been satisfactorily and properly completed and all improvements are operating as intended.

PROPERTY OWNER:

[INSERT ENTITY NAME, IF APPLICABLE]

BY: __________________________________________
    Signature

_____________________________________________

Printed Name

_____________________________________________

Title
Exhibit A

C-PACER Application

[See Attached]